Docket No.: PNDF-01095

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CEIVED

re patent application of

oru Hosoi

Serial No.: 09/892,500

Filed: June 28, 2001

Group Art Unit: 2882

Examiner: Suchecki, Krystyna

TECHNCLOGY CENTER 2800

ARRAYED WAVEGUIDE GRATING AND OPTICAL

COMMUNICATION SYSTEM USING ARRAYED WAVEGUIDE

GRATING

Commissioner of Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents, cited in the United Kingdom Search Report and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted

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